

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Sheet 1 of 2

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | 09/251592 |
| Filing Date | February 17, 1999 |
| First Named Inventor | Roberts, Randall |
| Group Art Unit | Unknown |
| Examiner Name | Jacobson, Tony |

RECEIVED

SEP 05 2003

Attorney Docket No: 1346.020US1 Technology Center 2600

US PATENT DOCUMENTS

| Examiner Initial * | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | Filing Date If Appropriate |
|--------------------|---------------------|------------------|---|-------|----------|----------------------------|
| Tmj | US-2001/0007050A1 | 07/05/2001 | Adelman, Roger A. | 600 | 150 | 02/09/2001 |
| Tmj | US-2002/0076073A1 | 06/20/2002 | Taenzer, Jon C., et al. | 381 | 315 | 12/19/2000 |
| Tmj | US-3,527,901 | 09/08/1970 | Geib, Robert L. | | | 03/28/1967 |
| Tmj | US-4,366,349 | 12/28/1982 | Adelman, Roger A. | 179 | 107 | 04/28/1980 |
| Tmj | US-4,396,806 | 08/02/1983 | Anderson, Jared A. | 179 | 107 | 10/20/1980 |
| Tmj | US-4,419,544 | 12/06/1983 | Adelman, Roger A. | 179 | 107 | 04/26/1982 |
| Tmj | US-4,471,490 | 09/11/1984 | Bellafore, Gaspare | 381 | 69 | 01/16/1983 |
| Tmj | US-4,637,402 | 01/20/1987 | Adelman, Roger A. | 128 | 746 | 12/01/1983 |
| Tmj | US-4,882,762 | 11/21/1989 | Waldhauer, Fred D. | | | 02/23/1988 |
| Tmj | US-5,390,254 | 02/14/1995 | Adelman, | 381 | 68 | 04/19/1993 |
| Tmj | US-5,434,924 | 07/18/1995 | Jampolsky, Arthur | 381 | 68.4 | 03/06/1991 |
| Tmj | US-5,502,769 | 03/26/1996 | Gilbertson, Mark | 381 | 68 | 04/28/1994 |
| Tmj | US-5,553,152 | 09/03/1996 | Newton, James R. | 381 | 68.6 | 08/31/1994 |
| Tmj | US-5,581,747 | 12/03/1996 | Anderson, Jeffrey | 395 | 551 | 11/25/1994 |
| Tmj | US-5,659,621 | 08/19/1997 | Newton, James R. | 381 | 68 | 04/27/1995 |
| Tmj | US-5,757,933 | 05/26/1998 | Preves, David A., et al. | 381 | 68 | 12/11/1996 |
| Tmj | US-5,822,442 | 10/13/1998 | Agnew, Jeremy A., et al. | 381 | 107 | 09/11/1995 |
| Tmj | US-5,825,631 | 10/20/1998 | Prchal, Dave | 361 | 790 | 04/16/1997 |
| Tmj | US-5,835,611 | 11/10/1998 | Kaiser, Eduard, et al. | 381 | 321 | 06/02/1997 |
| Tmj | US-5,852,668 | 12/22/1998 | Ishige, Ryuuichi, et al. | 381 | 312 | 12/26/1996 |
| Tmj | US-5,862,238 | 01/19/1999 | Agnew, Jeremy A., et al. | 381 | 321 | 09/11/1995 |
| Tmj | US-6,041,129 | 03/21/2000 | Adelman, Roger A. | 381 | 328 | 01/18/1996 |
| Tmj | US-6,236,731 | 05/22/2001 | Brennan, Robert, et al. | 381 | 316 | 04/16/1998 |
| Tmj | US-6,240,192 | 05/29/2001 | Brennan, Robert, et al. | 381 | 314 | 04/16/1998 |
| Tmj | US-6,347,148 | 02/12/2002 | Brennan, Robert, et al. | | | 04/16/1998 |
| Tmj | US-6,366,863 | 04/02/2002 | Bye, Gordon, et al. | 702 | 57 | 01/09/1998 |
| Tmj | US-6,389,142 | 05/14/2002 | Hagen, Lawrence T., et al. | 381 | 313 | 03/31/1998 |
| Tmj | US-6,449,662 | 09/10/2002 | Armitage, Scott | 710 | 8 | 09/14/1998 |

EXAMINER

Tony M. Jacobson

DATE CONSIDERED

10/9/03

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Sheet 2 of 2

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | 09/251592 |
| Filing Date | February 17, 1999 |
| First Named Inventor | Roberts, Randall |
| Group Art Unit | Unknown |
| Examiner Name | Jacobson, Tony |

RECEIVED

SEP 05 2003

Attorney Docket No: 1346.020US1

Technology Center 2600

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Foreign Document No | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | T ² |
|--------------------|---------------------|------------------|---|-------|----------|----------------|
| Tmj | WO-00/21332 | 04/13/2000 | Danielsen | | | |

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------|-----------------------|---|----------------|
| Tmj | | GRIFFING, TERRY S., et al., "Acoustical Efficiency of Canal ITE Aids", Audicibel, (Spring 1983), 30-31 | |
| Tmj | | GRIFFING, TERRY S., et al., "Custom canal and mini in-the-ear hearing aids", Hearing Instruments, vol. 34, no. 2, (February 1983), 31-32 | |
| Tmj | | GRIFFING, TERRY S., et al., "How to evaluate, sell, fit and modify canal aids", Hearing Instruments, vol. 35, no. 2, (February 1984), 3 pgs. | |
| Tmj | | MAHON, WILLIAM J., "Hearing Aids Get a Presidential Endorsement", The Hearing Journal, (October 1983), 7-8 | |
| Tmj | | SULLIVAN, ROY F., "Custom canal and concha hearing instruments: A real ear comparison", Hearing Instruments, vol. 40, no. 4, (July 1989), 5 pgs. | |
| Tmj | | SULLIVAN, ROY F., "Custom canal and concha hearing instruments: A real ear comparison Part II", Hearing Instruments, vol. 40, no. 7, (July 1989), 6 pgs. | |

EXAMINER

Tony M. Jacobson

DATE CONSIDERED

10/9/03

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached